

PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/814,011

Law Offices of Natan Epstein

Los Angeles, CA 90064

11377 West Olympic Boulevard

03/31/2004

Toshi K. Uchida

DE006a-CIP

**CONFIRMATION NO. 5558** 

FORMALITIES LETTER

\*OC000000012942085\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

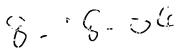
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Express Mail Label No. EV 376290990 US Date of Deposit: August 16, 2004





Patent Application of Toshi K. Uchida, et al.

Application Number 10/814,011

Filing Date 03/31/2004

Art Unit 2874

Examiner Name: Not known

Attorney Docket Number DE006a-CIP

## Response to Missing Parts under 37 CFR 1.53

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the Notice to File Missing Parts mailed 06/14/2004.

As required in the Notice, submitted herewith are a Declaration executed by both inventors; a fee transmittal authorizing the Commissioner to debit the deposit account of the undersigned for the large entity late filing fee of \$130.00; and an Abstract of the Disclosure.

The requirement for missing parts is believed to be fully met and examination on the merits is respectfully requested.

Respectfully submitted,

Law Offices of Natan Epstein

Natan Epstein, Esq.

Reg. 28,997

Under the Fallerwork Reduction ct of 1995 no persons  TRANSPORT  (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission			Approved for use through 07/31/2006. OMB 0651-00 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERS are required to respond to a collection of information unless it displays a valid OMB control numb Application Number 10/814,011  Filing Date 03/31/2004  First Named Inventor Toshi K. Uchida Art Unit 2874  Examiner Name Not yet Assigned  Attorney Docket Number DE006a-CIP				
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement			Cosures (Check all that Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Ferminal Disclaimer Request for Refund CD, Number of CD(s)	ess	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter		
Firm or Individual name Signature Date  I hereby certify th sufficient postage the date shown be	Natan Epstein, Esq. Law Offices of Natan Eps August 16, 2004  Cat this correspondence is be as first class mail in an en	ERTIFIC Deing facsing	EATE OF TRANSMISSION mile transmitted to the USPTO or dressed to: Commissioner for Pat	N/MAILING	o the United	States Postal Service with ndria, VA 22313-1450 on	
Typed or printed of Signature	name				Date		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Complete (if applicable))

Date

Telephone 310-312-2900

August 16, 2004

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 19

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 130.00 TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

Natan Epstein, Esq.

Complete if Known					
Application Number	10/814,011	_			
Filing Date	03/31/2004				
First Named Inventor	Toshi K. Uchida				
Examiner Name	Not yet Assigned				
Art Unit	2874				
Attorney Docket No	DE006a-CIP				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	<u>Large l</u>	Large Entity   Small Entity				
Denosit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account S02756	1051	130	2051		Surcharge - late filing fee or oath	130.00
Deposit Account Natan Epstein	1052	50	2052	25	Surcharge - late provisional filing fee or	
Name	1053	130	1053	130	cover sheet Non-English specification	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments	1812		1812		For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	1005	4 040*	1005	4 0 40*	Examiner action	
to the above-identified deposit account.	1805	1,840*	1805	1,840"	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid  Total Claims -20** = X	1502	480	2502	240	Design issue fee	
Independent	1503	640	2503	320	Plant issue fee	
Claims	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802 <sup>-</sup>	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	9 385	Filing a submission after final rejection	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	(37 CFR 1.129(a)) is For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))	
over original patent	1801	770	2801		Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination     of a design application	
SUBTOTAL (2) (\$)	Other	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic i	Filing F	ee Paid SUBTOTAL (3) (\$) 130.0	00

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be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

28,997